Investment Management Consultants

Brochure Supplement

10/7/2011

INVESTMENT MANAGEMENT CONSULTANTS LTD.

Cornerstone Building
2505 W. Alamo Ave. • Littleton, CO 80120

Phone: 303-707-0360

Richard L. Behr, Jr

Amanda L. Arthur

Richard L. Behr, Jr. – Born in 1951

Educational Background and Business Experience:

BS Finance, St. Francis of Loretto 1973
MS Finance, University of Colorado 1993
Accredited Investment Fiduciary "AIF®" 2007, Rice University fi360
Plansponsor Retirement Professional "PRP®" 2007, Plan Sponsor

IMC, June 1989 - present – President, Chief Compliance Officer, Wealth Manager

Licenses: Series 6, Life and Annuity Insurance (CO)

Amanda L. Arthur – Born in 1975

Educational Background and Business Experience:

Baylor University, Waco, TX, May 1999 BBA Financial Services and Planning
Plansponsor Retirement Professional "PRP®" 2007, Rice University fi360

IMC, 2005 - present – Financial Advisor

Licenses: Series 6, 63, 65 (CO & TX), Life and Annuity Insurance (CO & TX)

Designation Requirements:

AIF® designation

Investment Fiduciary® (AIF®) designation represents a thorough knowledge of and ability to apply the fiduciary Practices. Through fi360's AIF Training programs, AIF designees learn the Practices and the legal and best practice framework they are built upon. AIF designees have a reputation in the industry for the ability to implement a prudent process into their own investment practices as well as being able to assist others in implementing proper policies and procedures.

AIF designees must:

- Sign and agree to abide by a code of ethics
- Complete six hours of continuing professional education with at least four coming from fi360-produced sources (outlined in the section below)
- Maintain current contact information in fi360's designee database
- Submit yearly renewal application with $325 in annual dues

Continuing Education Requirements:
AIF designees must obtain six combined hours of continuing professional education each renewal year from the sources listed below (at least four hours must be accumulated from the listed fi360-produced sources):

- The fi360 annual conference (live event)
- Archived recordings from past fi360 conferences (from the on-demand fi360 CE directory)
- fi360 Resources webinars (live)
- Archived recordings of Resources webinars (from the on-demand fi360 CE directory)
- Relevent events produced by sources outside of fi360 (max of two hours per year allowed)
- Web-based AIF Training (course audit)
- Participation in a CEFEX Certification team or as a liaison officer at a firm being Certified (max of 3 hours/year)

PRP® designation

To earn the PRP designation, a candidate must satisfy requirements involving:

Professional experience, Coursework, Final examination, Business character, and Continuing education.

Professional Experience - A successful candidate must have five years of direct retirement industry sales, service, and/or support experience. The PRP Advisory Board will evaluate each candidate's years of experience to determine whether they are of an appropriate nature.

Online Coursework - Prior to participating in instructor-led classes, a successful candidate must attend online Web-based courses hosted by PLANSPONSOR Institute, and designed to prepare candidates for the instructor-led training session and final examination.

Instructor Led Training Session - A PRP candidate must attend an instructor-led, multiple-day training session on dates and at a location predetermined by PLANSPONSOR Institute and, if applicable, a sponsoring organization. This instructor-led training is designed to be "interactive" and will feature case studies and group best practices exercises.

Final Examination - Each candidate must sit for a proctored, 100-item final examination held at the conclusion of the multiple-day training session, and obtain a passing score of 70% or better. Should a candidate fail to receive a passing score, retesting is possible at PLANSPONSOR-designated locations. A 60-day waiting period is required before retesting is permitted.An additional fee may apply.

Professional Reference - Each PRP candidate must submit a letter of reference that attests to his or her qualifications for certification.

Signed Ethics Statement - Each PRP candidate must sign the PLANSPONSOR Institute's PRP Code of Ethics to verify that the individual pledges to maintain a high standard of conduct, competency, knowledge, professionalism, integrity, objectivity, and responsibility in the practice of his or her profession.

Continuing Education - On an ongoing basis, PRPs must complete 12 hours of continuing education each year, on an anniversary-year basis. The requirement may be fulfilled by participating in PLANSPONSOR's Web-based presentations and conferences, or through industry-related conferences and courses approved by PLANSPONSOR Institute.

Disciplinary history

Please see FINRA's Broker Check Report to access disciplinary information for Richard L. Behr, Jr. & Amanda L. Arthur. You may access that report through the following link:

http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/

Neither the organization nor any officer has been involved in any SEC investigation or litigation. Mr. Behr was involved in litigation with a client but that matter has been resolved and dismissed with prejudice

Other business activities:

Richard L. Behr, Jr. & Amanda L. Arthur are registered representatives of Financial Telesis, Inc a broker dealer based out of California. The nature of business that runs through Financial Telesis, Inc. is Retirement Plan Advisory Service. They receive compensation in the form of advisory fees and commissions.

Additional compensation:

Neither Richard L. Behr, Jr. nor Amanda L. Arthur receives additional compensation outside of the aforementioned employment arrangements.

Supervision:

Richard L. Behr, Jr. is supervised by himself:

Richard L. Behr, Jr.
2505 W. Alamo Ave, Littleton, CO 80120
Phone: 303-707-0360
Email: rlbehr@imc-limited.com

Amanda Arthur is supervised by the CCO:

Richard L. Behr, Jr.
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